# Connected at Every Level Compliance Serialization and Track and Trace

# Are you ready for Serialization and Track and Trace requirements?

Due to counterfeiting, adulteration, theft, and diversion, governments and regulatory agencies have developed regulations to enhance patient safety and supply chain security. On November 27, 2013, The Drug Supply Chain Security Act (DSCSA) made effective several additional requirements that impact labeling and data management for commercially distributed prescription human drug product in the US.

#### What is Serialization?

Serialization refers to the new requirements for application of unique identification code, a serial number or EPC (electronic product code). The serial number should be applied to each unit in GS1standard 2D DataMatrix and human-readable formats.





### What is Track and Trace?

Tracking, tracing, and authentication of serialized product through the supply chain means gathering and holding documentation of chain of ownership from manufacturing to dispensing sufficient to enable response to government requests for serial number verification in less than 24 hours. This metadata must be stored for 6 years.

# What are Aggregation / Inference / Packaging Hierarchy?

Aggregation is the process of linking the serial number of each case to the individual serial numbers of units contained in that case, also referred to as the creation of the "parent / child relationship."

# What is Drug Pedigree / ePedigree?

A statement of origin that identifies each prior sale, purchase, or trade of a drug, including the date of transaction and the names and addresses of parties involved. ePedigree refers to statements delivered electronically.



#### When are the Key Deadlines?

Jan 2015: Lot-level traceability requirement became effective

**Nov 2017:** Unit level (Serial #) requirement becomes effective; manufacturers must apply to bottle or carton and case/shipper. Although not required per DSCSA, most wholesale distributors are also requiring aggregation by this deadline.

**Nov 2019:** Wholesale distributors may not purchase or sell drug product without serialized product identifier. Nov 2023: Full unit level traceability is required, including dispensers.

#### What does this mean for you?

Commercially distributed human-use, prescription drug products will require primary labeling with a larger print area for serial number barcoding. For existing products, this may require revisions to primary and secondary packaging to account for equipment and procedural changes related to the new complex serialized packaging process.

New software systems are needed for the generation, transfer, and retention of serial numbers in the accepted format. Connections to software systems at CMO/CPOs and trading partners will require setup, configuration, and testing. A connected supply chain enables traceability of the serial number of the lowest saleable unit from generation to dispensing within the 24 hour response timing required. Clearly defining responsibilities for data retention and response among all parties in the supply chain is critical.

# The Alcami Advantage

Alcami has made investments to upgrade our packaging lines for full serialization and aggregation capability. Alcami has partnered with leading track and trace solution provider, TraceLink to launch the enterprise level serial number management system, through TraceLink's Life Sciences Cloud, which will enable serial number generation and commissioning as well as data transfer with our clients and trading partners. Our serial number management system has flexibility to integrate with other solutions and supports various serial number provisioning models. Alcami is targeting serialization readiness well in advance of the Nov 27, 2017 deadline.

At Alcami, we are committed to directing you to achieve consistent regulatory compliance. Connect with one of our experts to achieve the best outcome for your product at every level.

For more information please contact:

www.alcaminow.com/connect/contact (Online request option) Phone: 1-800-575-4224 Email: sales@alcaminow.com